

Name	CIK	Form	As of	Filed	Currency	Rate	Total Assets	Total Assets (USD)	Total Equity	Total Equity (USD)	Leverage
J.P. Morgan Securities LLC	0000782124	X-17A-5	12/31/19	3/2/20	USD	1	490,945,000,000	490,945,000,000	11,783,000,000	11,783,000,000	41.7x
Goldman Sachs & Co. LLC	0000042352	X-17A-5	12/31/19	2/28/20	USD	1	416,561,000,000	416,561,000,000	13,437,000,000	13,437,000,000	31.0x
BOFA SECURITIES, INC.,BOFAML SECURITIES, INC.	0000070858	X-17A-5	12/31/18	1/27/20	USD	1	335,740,068,436	335,740,068,436	23,049,454,158	23,049,454,158	14.6x
Morgan Stanley & Co. LLC	0000068136	X-17A-5	12/31/19	3/2/20	USD	1	325,796,000,000	325,796,000,000	8,199,000,000	8,199,000,000	39.7x
Citigroup Global Markets Inc.	0000091154	X-17A-5	12/31/18	2/28/20	USD	1	289,445,000,000	289,445,000,000	9,073,000,000	9,073,000,000	31.9x
Wells Fargo Securities, LLC	0001224385	X-17A-5	12/31/19	2/28/20	USD	1	141,834,542,000	141,834,542,000	5,601,579,000	5,601,579,000	25.3x
Nomura Securities International, Inc.	0000072267	X-17A-5	3/31/19	5/28/19	USD	1	127,542,303,000	127,542,303,000	3,084,406,000	3,084,406,000	41.4x
Barclays Capital Inc.	0000851376	X-17A-5	12/31/19	3/6/20	USD	1	99,167,000,000	99,167,000,000	7,362,000,000	7,362,000,000	13.5x
CREDIT SUISSE SECURITIES (USA) LLC	0000318336	X-17A-5	12/31/19	2/28/20	USD	1	81,039,000,000	81,039,000,000	12,058,000,000	12,058,000,000	06.7x
Deutsche Bank Securities Inc.	0000058056	X-17A-5	12/31/19	3/2/20	USD	1	80,071,000,000	80,071,000,000	11,266,000,000	11,266,000,000	07.1x
HSBC Securities (USA) Inc.	0000853784	X-17A-5	12/31/19	3/2/20	USD	1	77,321,000,000	77,321,000,000	942,000,000	942,000,000	82.1x
RBC Capital Markets, LLC	0000895502	X-17A-5	10/31/18	12/23/19	USD	1	60,492,227,000	59,058,876,000	5,905,876,000	5,905,876,000	10.2x
Daiwa Capital Markets America Inc.	0000026617	X-17A-5	3/31/19	5/30/19	USD	1	49,857,320,000	49,857,320,000	912,912,000	912,912,000	54.6x
BMO Capital Markets Corp.	0000772028	X-17A-5	12/31/18	2/26/20	USD	1	32,966,126,000	32,966,126,000	1,577,077,000	1,577,077,000	20.9x
TD Securities (USA) LLC	0000803012	X-17A-5	10/31/19	12/20/19	USD	1	32,682,640,000	32,682,640,000	861,805,000	861,805,000	37.9x
SG Americas Securities, LLC	0001261467	X-17A-5	12/31/19	3/2/20	USD	1	31,131,152,000	31,131,152,000	4,515,350,000	4,515,350,000	06.9x
Mizuho Securities USA LLC	0000812294	X-17A-5	3/31/19	11/6/19	USD	1	29,537,573,000	29,537,573,000	922,950,000	922,950,000	32.0x
NatWest Markets Securities Inc	0000808379	X-17A-5	12/31/19	2/29/20	USD	1	28,173,000,000	28,173,000,000	372,000,000	372,000,000	75.7x
Jefferies LLC	0000200403	X-17A-5	11/30/19	1/29/20	USD	1	26,901,091,000	26,901,091,000	3,863,083,000	3,863,083,000	07.0x
BNP Paribas Securities Corp.	0000753835	X-17A-5	12/31/19	2/26/20	USD	1	26,745,992,000	26,745,992,000	1,226,427,000	1,226,427,000	21.8x
Scotia Capital (USA) Inc.	0000064241	X-17A-5	10/31/19	12/27/19	USD	1	25,382,280,000	25,382,280,000	1,375,325,000	1,375,325,000	18.5x
Cantor Fitzgerald & Co.	0000017018	X-17A-5	12/31/19	3/4/20	USD	1	19,622,888,000	19,622,888,000	508,554,000	508,554,000	38.6x
UBS Securities LLC	0000230611	X-17A-5	12/31/19	3/3/20	USD	1	17,324,597,000	17,324,597,000	3,741,732,000	3,741,732,000	04.6x
Amherst Pierpont Securities LLC	0001465857	X-17A-5	9/30/19	11/29/19	USD	1	16,046,423,880	16,046,423,880	334,397,496	334,397,496	48.0x
MERRILL LYNCH, PIERCE, FENNER & SMITH INCO	0000065106	<DISCONTINUED>	12/31/17	3/1/17	USD	1					

2,862,325,223,316

131,972,927,654

21.7x

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